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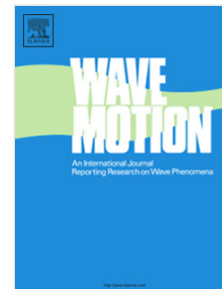
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***Research Highlights**

- Numerical modeling of nonlinear hyperbolic systems with nonlinear relaxation
- Experimental investigation of nonlinear propagation
- Validation of a theoretical model involving acoustic solitary waves

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Generation of acoustic solitary waves in a lattice of Helmholtz resonators

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Abstract

This paper addresses the propagation of high amplitude acoustic pulses through a 1D lattice of Helmholtz resonators connected to a waveguide. Based on the model proposed by Sugimoto (J. Fluid. Mech., 244 (1992), 55-78), a new numerical method is developed to take into account both the nonlinear wave propagation and the different mechanisms of dissipation: the volume attenuation, the linear viscothermal losses at the walls, and the nonlinear absorption due to the acoustic jet formation in the resonator necks. Good agreement between numerical and experimental results is obtained, highlighting the crucial role of the nonlinear losses. Different kinds of solitary waves are observed experimentally with characteristics depending on the dispersion properties of the lattice.

Keywords: nonlinear acoustics, solitary waves, Helmholtz resonator, fractional derivatives, shock-capturing schemes

1. Introduction

2 The dynamics of nonlinear waves in lattices has been the object of a great
3 interest in the scientific community. This theme has stimulated researches in

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4 a wide range of areas, including the theory of solitons and the dynamics of
5 discrete networks. Works have been led in electromagnetism and optics [1],
6 and numerous physical phenomena have been highlighted, such as dynamical
7 multistability [2, 3, 4], chaotic phenomena [5, 6], discrete breathers [7, 8, 9]
8 and solitons or solitary waves [10, 11]; for a review, see [12]. Solitary waves
9 have been observed and studied firstly for surface wave in shallow water [13].
10 These waves can propagate without change of shape and with a velocity
11 depending of their amplitude [14]. This phenomenon has been studied in
12 many physical systems, for instance in fluid dynamics, optics, plasma physics.
13 For a review, see [15] and the citations in [16].

14 In the field of acoustics, numerous works have shown the existence of
15 solitary waves in uniform or inhomogeneous rods [17, 18, 19], periodic chains
16 of elastics beads [20, 21, 22, 23, 24], periodic structures such as lattices or
17 crystals [25, 26, 27], elastic layers [28, 29, 30], layered structures coated by
18 film of soft material [31] and microstructured solids [32]. As we can see, most
19 studies concern elastic waves in solids. Indeed, only a few works deal with
20 acoustic waves in fluid, even if experimental observations of solitary waves
21 have been made in the atmosphere [33, 34, 35] or in the ocean [36, 37, 38].

22 One reason of this lack originates from the fact that the intrinsic disper-
23 sion of acoustic equations is too low to compete with the nonlinear effects,
24 preventing from the occurrence of solitons. To observe the latter waves, geo-
25 metrical dispersion must be introduced. It has been the object of the works
26 of Sugimoto and his co-authors [39, 40, 41, 42], where the propagation of non-
27 linear waves was considered in a tube connected to an array of Helmholtz res-
28 onators. A model incorporating both the nonlinear wave propagation in the
29 tube and the nonlinear oscillations in the resonators has been proposed. The-
30 oretical and experimental investigations have shown the existence of acoustic
31 solitary waves [39].

32 The present study extends the work of Sugimoto. We examine the valid-
33 ity of his theoretical model to describe the propagation of nonlinear acous-
34 tic waves in a tunnel with Helmholtz resonators. For this purpose, we de-
35 velop both a new numerical method and real experiments. Compared with
36 our original methodology presented in [43], improvements are introduced to
37 model numerically the attenuation mechanisms. The combination of highly-
38 accurate numerical simulations and experimental results enables to study
39 quantitatively the generation of solitary waves, and also to determine the
40 role of the different physical phenomena (such as the linear and nonlinear
41 losses) on wave properties.

42 The paper is organized as follows. Section 2 introduces the model of Sugimoto [42]. Section 3 presents the evolution equations. The nonlocal fractional derivatives modeling the viscothermal losses are transformed into a set
 43 of memory variables satisfying local-in-time ordinary differential equations.
 44 Sugimoto's model is then transformed into a first-order system of partial differential equations. Section 4 details the numerical methods. The coefficients
 45 of the memory variables are issued from a new optimization procedure, which
 46 ensures the decrease of energy. A splitting strategy is then followed to integrate the evolution equations. Compared with [43], another novelty concerns
 47 the integration of a nonlinear differential equation describing the nonlinear
 48 losses. Section 5 introduces the experimental setup, the acquisition chain,
 49 and some validation tests. Section 6 compares the experimental results and
 50 the simulated results, confirming the validity of the theoretical model [40]
 51 and the existence of acoustic solitary waves.
 52
 53
 54
 55

56 2. Problem statement

57 2.1. Configuration

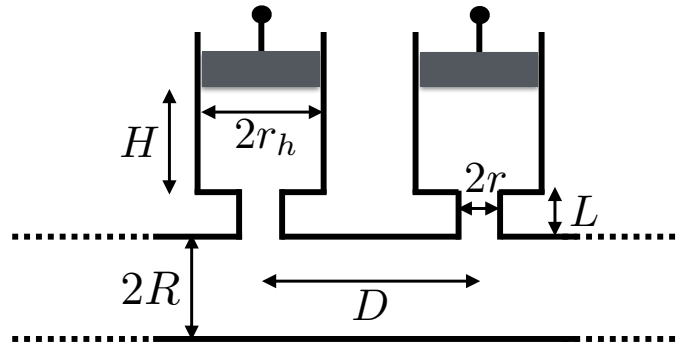


Figure 1: Sketch of the guide connected with Helmholtz resonators.

58 The configuration under study is made up of an air-filled tube connected
 59 with uniformly distributed cylindrical Helmholtz resonators (figure 1). The
 60 geometrical parameters are the radius of the guide R ; the axial spacing between resonators D ; the radius of the neck r ; the length of the neck L ; the
 61

62 radius of the cavity r_h ; and the height of the cavity H . The cross-sectional
 63 area of the guide is $A = \pi R^2$ and that of the neck is $B = \pi r^2$, the volume of
 64 each resonator is $V = \pi r_h^2 H$. Corrected lengths are introduced: $L' = L + 2r$
 65 accounts for the viscous end corrections, and the corrected length $L_e = L + \eta$
 66 accounts for the end corrections at both ends of the neck, where $\eta \approx 0.82r$
 67 is determined experimentally [40]. The reduced radius is:

$$R^* = \frac{R}{1 - \frac{R}{2D} \frac{B}{A}} = \frac{R}{1 - \frac{R}{2DR}}. \quad (1)$$

68 The physical parameters are the ratio of specific heats at constant pressure
 69 and volume γ ; the pressure at equilibrium p_0 ; the density at equilibrium ρ_0 ;
 70 the Prandtl number Pr ; the kinematic viscosity ν ; and the ratio of shear
 71 and bulk viscosities μ_v/μ . The linear sound speed a_0 , the sound diffusivity
 72 ν_d , the dissipation in the boundary layer C , and the characteristic angular
 73 frequencies of the resonator ω_0 and ω_e , are given by:

$$a_0 = \sqrt{\frac{\gamma p_0}{\rho_0}}, \quad \nu_d = \nu \left(\frac{4}{3} + \frac{\mu_v}{\mu} + \frac{\gamma - 1}{\text{Pr}} \right), \quad C = 1 + \frac{\gamma - 1}{\sqrt{\text{Pr}}}, \quad (2)$$

$$\omega_0 = a_0 \sqrt{\frac{B}{LV}} = a_0 \frac{r}{r_h} \frac{1}{\sqrt{LH}}, \quad \omega_e = \sqrt{\frac{L}{L_e}} \omega_0.$$

74 2.2. Model of Sugimoto

75 Given a characteristic angular frequency ω , the main assumptions under-
 76 lying Sugimoto's model are [40]:

- 77 • low-frequency propagation ($\omega < \omega^* = \frac{1.84 a_0}{R}$), so that only the plane
 78 mode propagates and the 1D approximation is valid [44];
- 79 • weak acoustic nonlinearity in the tube (small Mach number) [45];
- 80 • continuous distribution of resonators ($\lambda \gg D$, where $\lambda = 2\pi a_0/\omega$).

81 The wave fields are split into simple right-going waves (denoted +) and left-
 82 going waves (denoted -) that do not interact together during their propa-
 83 gation. The variables are the axial velocity of the gas u^\pm and the excess
 84 pressure in the cavity p^\pm . The excess pressure in the tube is denoted by p'^\pm .
 85 In the linear theory, it is related to u^\pm by

$$p'^\pm = \pm \rho_0 a_0 u^\pm. \quad (3)$$

Each simple wave is modeled by a coupled system of a partial differential equation (PDE) and an ordinary differential equation (ODE):

$$\begin{cases} \frac{\partial u^\pm}{\partial t} + \frac{\partial}{\partial x} \left(\pm a u^\pm + b \frac{(u^\pm)^2}{2} \right) = \pm c \frac{\partial^{-1/2}}{\partial t^{-1/2}} \frac{\partial u^\pm}{\partial x} + d \frac{\partial^2 u^\pm}{\partial x^2} \mp e \frac{\partial p^\pm}{\partial t}, & (4a) \\ \frac{\partial^2 p^\pm}{\partial t^2} + f \frac{\partial^{3/2} p^\pm}{\partial t^{3/2}} + g p^\pm - m \frac{\partial^2 (p^\pm)^2}{\partial t^2} + n \left| \frac{\partial p^\pm}{\partial t} \right| \frac{\partial p^\pm}{\partial t} = \pm h u^\pm, & (4b) \end{cases}$$

86 with the coefficients

$$\begin{aligned} a &= a_0, & b &= \frac{\gamma + 1}{2}, & c &= \frac{C a_0 \sqrt{\nu}}{R^*}, & d &= \frac{\nu_d}{2}, & e &= \frac{V}{2 \rho_0 a_0 A D}, \\ f &= \frac{2 \sqrt{\nu}}{r} \frac{L'}{L_e}, & g &= \omega_e^2, & h &= \omega_e^2 \frac{\gamma p_0}{a_0}, & m &= \frac{\gamma - 1}{2 \gamma p_0}, & n &= \frac{V}{B L_e \rho_0 a_0^2}. \end{aligned} \quad (5)$$

87 The PDE (4a) models nonlinear acoustic waves in the tube (coefficients a and
88 b). Viscothermal losses in the boundary layer of the tube are introduced by
89 the coefficient c [46]. The diffusivity of sound in the tube is also introduced
90 by the coefficient d .

91 The ODE (4b) models the air oscillation in the neck of the resonators
92 thanks to the coefficients f and g [47, 48]. Compared to the ODE used in
93 [43], the following modifications have been introduced:

- 94 • the natural angular frequency of the resonator ω_0 has been replaced by
95 the corrected angular frequency ω_e (2),
- 96 • f has been multiplied by L'/L_e ,
- 97 • new coefficients m and n have been introduced, describing nonlinear
98 processes in the resonators.

99 The coefficient m models the nonlinearity due to the adiabatic process in the
100 cavity. This term is conservative. The semi-empirical coefficient n accounts
101 for the jet loss resulting from the difference in inflow and outflow patterns
102 [40, 42]. As it will be illustrated later, these nonlinear processes need to be
103 included to get good agreement with the experimental measurements.

104 The coupling between (4a) and (4b) is done by the coefficients e and h . If
105 the resonators are suppressed ($H \rightarrow 0$ and thus $V \rightarrow 0$), then the coefficient
106 $e \rightarrow 0$: no coupling occurs, and the classical Chester's equation is recovered
107 [49].

108 Fractional operators of order $-1/2$ and $3/2$ are involved in the system (4),
 109 via the coefficients c and f . These operators model the viscothermal losses
 110 in the tube and in the resonators, respectively proportional to $1/(i\omega)^{1/2}$ and
 111 $(i\omega)^{3/2}$ in the frequency domain. In (4a), the Riemann-Liouville fractional
 112 integral of order $1/2$ of a causal function $w(t)$ is defined by

$$\frac{\partial^{-1/2}}{\partial t^{-1/2}} w(t) = \frac{H(t)}{\sqrt{\pi t}} * w = \frac{1}{\sqrt{\pi}} \int_0^t (t - \tau)^{-1/2} w(\tau) d\tau, \quad (6)$$

113 where $*$ is the convolution product in time, and $H(t)$ is the Heaviside step
 114 function [50]. The Caputo fractional derivative of order $3/2$ in (4b) is ob-
 115 tained by applying (6) to $\partial^2 p^\pm / \partial t^2$.

116 2.3. Dispersion regimes

117 Sugimoto's model (4) relies on a low-frequency assumption. In this case,
 118 the set of discrete Helmholtz resonators separated by portions of tube are
 119 replaced by a continuous surfacic distribution of resonators. To examine the
 120 validity of this model in our experimental configuration, one can compare
 121 the dispersion relations obtained respectively by the continuous model and
 122 by the discrete one, the latter being deduced from a Floquet-Bloch analysis
 123 [51].

124 In the linear regime, the lossy continuous model proposed by Sugimoto
 125 leads to the following dispersion relation [51]:

$$(QD)^2 = \left(1 - \sqrt{2} (1 - i) \frac{C}{R^*} \left(\frac{\nu}{\omega} \right)^{1/2} + i \frac{\nu_d \omega}{a_0^2} \right)^{-1} \left(1 - \frac{\kappa}{Z_2(\omega)} \right) \left(\frac{\omega D}{a_0} \right)^2, \quad (7)$$

where Q is the Bloch wave number, $\kappa = V/(AD)$ and

$$Z_2(\omega) = \left(\frac{\omega}{\omega_e} \right)^2 - 1 + \frac{\sqrt{2} (1 - i)}{r} \frac{L'}{L_e} \left(\frac{\nu}{\omega_e} \right)^{1/2} \left(\frac{\omega}{\omega_e} \right)^{3/2}.$$

126 On the contrary, the dispersion relation of the discrete model writes [52]

$$\cos QD = \cos(kD) + \frac{U(k)}{2k} \sin(kD), \quad (8)$$

127 where $k = \omega/a_0$ is the wave number and $U(k)$ is the equivalent potential of
 128 the Helmholtz resonators given by

$$U(k) = \frac{B}{A k} \frac{\tan(k L_e) + \alpha \tan(k H)}{1 - \alpha \tan(k L_e) \tan(k H)}, \quad (9)$$

129 with $\alpha = (r_h/r)^2$. The losses in the waveguide and resonators are modeled
 130 by introducing an imaginary part in the wavenumber k as presented in [51].
 131 Results from equations (7) and (8) will be compared in section 5.3.

132 3. Evolution equations

133 3.1. Diffusive approximation

134 The fractional integral (6) is non local in time and relies on the full history
 135 of $w(t)$, which is numerically memory-consuming. An alternative approach
 136 is based on a diffusive representation of fractional derivatives, and then on
 137 its approximation. This method has already been presented in [43] and we
 138 just recall the main steps: following [53], equation (6) is recast as

$$\frac{\partial^{-1/2}}{\partial t^{-1/2}} w(t) = \int_0^{+\infty} \phi(t, \theta) d\theta, \quad (10)$$

139 where the diffusive variable ϕ satisfies the local-in-time ordinary differential
 140 equation

$$\begin{cases} \frac{\partial \phi}{\partial t} = -\theta^2 \phi + \frac{2}{\pi} w, \\ \phi(0, \theta) = 0. \end{cases} \quad (11)$$

141 To approximate the integral (10), a quadrature formula on N_q points is used,
 142 with weights μ_ℓ and nodes θ_ℓ :

$$\frac{\partial^{-1/2}}{\partial t^{-1/2}} w(t) \simeq \sum_{\ell=1}^{N_q} \mu_\ell \phi_\ell(t), \quad (12)$$

143 where the diffusive variables $\phi_\ell(t) = \phi(t, \theta_\ell)$ satisfy the ODE (11). Similarly,
 144 the derivative of order 3/2 is written

$$\frac{\partial^{3/2}}{\partial t^{3/2}} w(t) \simeq \sum_{\ell=1}^{N_q} \mu_\ell \left(-\theta_\ell^2 \xi_\ell + \frac{2}{\pi} \frac{dw}{dt} \right), \quad (13)$$

145 where the $\xi_\ell(t) = \xi(t, \theta_\ell)$ satisfy the ODE

$$\begin{cases} \frac{\partial \xi}{\partial t} = -\theta^2 \xi + \frac{2}{\pi} \frac{dw}{dt}, \\ \xi(0, \theta) = 0. \end{cases} \quad (14)$$

146 The determination of weights and nodes μ_ℓ and θ_ℓ is discussed in section 4.1.

147 *3.2. First-order systems*

148 Equations (4), (12), (11), (13) and (14) governing the evolution of right-
 149 going and left-going simple waves are put together. One obtains two first-
 150 order systems

$$\left\{ \begin{array}{l} \frac{\partial u^\pm}{\partial t} + \frac{\partial}{\partial x} \left(\pm a u^\pm + b \frac{(u^\pm)^2}{2} \right) = \pm c \sum_{\ell=1}^{N_q} \mu_\ell \phi_\ell^\pm + d \frac{\partial^2 u}{\partial x^2} \mp e q^\pm, \\ \frac{\partial p^\pm}{\partial t} = q^\pm, \\ \frac{\partial q^\pm}{\partial t} = \frac{1}{1 - 2mp^\pm} \left(\pm h u^\pm - g p^\pm - f \sum_{\ell=1}^{N_q} \mu_\ell \left(-\theta_\ell^2 \xi_\ell^\pm + \frac{2}{\pi} q^\pm \right) + 2m(q^\pm)^2 - n|q^\pm| q^\pm \right), \\ \frac{\partial \phi_\ell^\pm}{\partial t} - \frac{2}{\pi} \frac{\partial u^\pm}{\partial x} = -\theta_\ell^2 \phi_\ell^\pm, \quad \ell = 1 \cdots N_q, \\ \frac{\partial \xi_\ell^\pm}{\partial t} = -\theta_\ell^2 \xi_\ell^\pm + \frac{2}{\pi} q^\pm, \quad \ell = 1 \cdots N_q, \end{array} \right. \quad (15)$$

151 with null initial conditions. A source term at $x = 0$ models the acoustic
 152 source of right-going wave

$$u^+(0, t) = s(t). \quad (16)$$

153 The rigid end of the tube is modeled by Dirichlet conditions on the velocity

$$u^-(L, t) = -u^+(L, t), \quad (17)$$

154 hence $u^+(L, t)$ acts as a source for the system of left-going waves. In the third
 155 equation of (15), a division by $1 - 2mp^\pm$ occurs. In practice, this term does
 156 not vanish: in the low-frequency regime, one has from (4b) that $g p^\pm \approx h u^\pm$
 157 which leads to $p^\pm/p_0 \approx \gamma u^\pm/a_0$. From the definition of m in (5), it follows
 158 that

$$2mp^\pm \approx (\gamma - 1) \frac{u^\pm}{a_0}, \quad (18)$$

159 which is lower than 1 under the hypothesis of weak nonlinearity ($|u^\pm| \ll a_0$).

160 The $(3 + 2N_q)$ unknowns for each simple waves are gathered in the two
 161 vectors

$$\mathbf{U}^\pm = \left(u^\pm, p^\pm, q^\pm, \phi_1^\pm, \dots, \phi_{N_q}^\pm, \xi_1^\pm, \dots, \xi_{N_q}^\pm \right)^T. \quad (19)$$

162 Then the nonlinear systems (15) can be written in the form

$$\frac{\partial}{\partial t} \mathbf{U}^\pm + \frac{\partial}{\partial x} \mathbf{F}^\pm(\mathbf{U}^\pm) = \mathbf{G} \frac{\partial^2}{\partial x^2} \mathbf{U}^\pm + \mathbf{S}^\pm(\mathbf{U}^\pm), \quad (20)$$

163 where \mathbf{F}^\pm are the flux functions

$$\mathbf{F}^\pm = \left(\pm a u^\pm + b \frac{(u^\pm)^2}{2}, 0, 0, -\frac{2}{\pi} u^\pm, \dots, -\frac{2}{\pi} u^\pm, 0, \dots, 0 \right)^T. \quad (21)$$

164 The Jacobian matrices $\frac{\partial \mathbf{F}^\pm}{\partial \mathbf{U}^\pm}$ in (21) are diagonalizable with real eigenvalues:
 165 $\pm a + b u^\pm$, and 0 with multiplicity $2N_q + 2$, which ensures propagation with
 166 finite velocity. These eigenvalues do not depend on the quadrature coefficients
 167 μ_ℓ and θ_ℓ . The diagonal matrix $\mathbf{G} = \text{diag}(d, 0, \dots, 0)$ incorporates
 168 the volume attenuation. Lastly, \mathbf{S}^\pm are the source terms

$$\mathbf{S}^\pm = \begin{pmatrix} \pm c \sum_{\ell=1}^N \mu_\ell \phi_\ell^\pm \mp e q^\pm \\ q^\pm \\ \frac{1}{1 - 2mp^\pm} \left(\pm h u^\pm - g p^\pm - f \sum_{\ell=1}^N \mu_\ell \left(-\theta_\ell^2 \xi_\ell^\pm + \frac{2}{\pi} q^\pm \right) + 2m(q^\pm)^2 - n|q^\pm|q^\pm \right) \\ -\theta_\ell^2 \phi_\ell^\pm, \quad \ell = 1 \dots N_q \\ -\theta_\ell^2 \xi_\ell + \frac{2}{\pi} q^\pm, \quad \ell = 1 \dots N_q \end{pmatrix}. \quad (22)$$

169 As soon as $m \neq 0$ and $n \neq 0$, $\mathbf{S}^\pm(\mathbf{U}^\pm)$ is no longer a linear operator ($m =$

170 $0 = n$ has been considered in [43]). The Jacobian matrices $\mathbf{T}^\pm = \frac{\partial \mathbf{s}^\pm}{\partial \mathbf{U}^\pm}$ are

$$\mathbf{T}^\pm = \begin{pmatrix} 0 & 0 & \mp e & \pm c \mu_1 & \cdots & \pm c \mu_N & 0 & \cdots & 0 \\ 0 & 0 & 1 & 0 & \cdots & 0 & 0 & \cdots & 0 \\ \frac{\pm h}{\Delta^\pm} & -\frac{g}{(\Delta^\pm)^2} & T_{22}^\pm & 0 & \cdots & 0 & \frac{f}{\Delta^\pm} \mu_1 \theta_1^2 & \cdots & \frac{f}{\Delta^\pm} \mu_N \theta_N^2 \\ 0 & 0 & 0 & -\theta_1^2 & & & & & \\ \vdots & \vdots & \vdots & & \ddots & & & & \\ 0 & 0 & 0 & & & -\theta_N^2 & & & \\ 0 & 0 & \frac{2}{\pi} & & & & -\theta_1^2 & & \\ \vdots & \vdots & \vdots & & & & & \ddots & \\ 0 & 0 & \frac{2}{\pi} & & & & & & -\theta_N^2 \end{pmatrix}, \quad (23)$$

171 with

$$\Delta^\pm = 1 - 2mp^\pm, \quad T_{22}^\pm = \frac{1}{\Delta^\pm} \left(4mq^\pm - 2n|q^\pm| - \frac{2}{\pi} f \sum_{\ell=1}^{N_q} \mu_\ell \right). \quad (24)$$

172 4. Numerical methods

173 4.1. Quadrature coefficients

174 In [43], a detailed discussion on the possible strategies to compute the
175 $2N_q$ quadrature coefficients μ_ℓ and θ_ℓ in (22) has been proposed, and a
176 linear optimization was preferred. The nodes θ_ℓ were distributed linearly on
177 a logarithmic scale on the frequency range of interest, and then the weights
178 were determined by a simple least-squares method. One drawback is that
179 negative weights μ_ℓ may be obtained, which may yield a non-physical increase
180 of energy [54].

181 Here we improve the optimization procedure to get positive weights μ_ℓ .
182 Applying Fourier transforms in time and space to the original model of Sug-
183 imoto (4) and to its diffusive counterpart (15), we obtain two dispersion
184 relations. The latters differ only in the symbols

$$\begin{cases} \chi(\omega) = (i\omega)^{-1/2}, \\ \tilde{\chi}(\omega) = \frac{2}{\pi} \sum_{\ell=1}^{N_q} \frac{\mu_\ell}{\theta_\ell^2 + i\omega}. \end{cases} \quad (25)$$

185 For a given number K_q of angular frequencies ω_k , one introduces the objective
186 function

$$\mathcal{J}(\{(\mu_\ell, \theta_\ell)\}_\ell; N_q, K_q) = \sum_{k=1}^{K_q} \left| \frac{\tilde{\chi}(\omega_k)}{\chi(\omega_k)} - 1 \right|^2 = \sum_{k=1}^{K_q} \left| \frac{2}{\pi} \sum_{\ell=1}^{N_q} \mu_\ell \frac{(i\omega_k)^{1/2}}{\theta_\ell^2 + i\omega_k} - 1 \right|^2 \quad (26)$$

187 to be minimized w.r.t parameters $\{(\mu_\ell, \theta_\ell)\}_\ell$ for $\ell = 1, \dots, N_q$. A nonlinear
188 optimization with the positivity constraints $\mu_\ell \geq 0$ and $\theta_\ell \geq 0$ is chosen
189 for this purpose. The additional constraint $\theta_\ell \leq \theta_{\max}$ is also introduced to
190 avoid the algorithm to diverge. These $3N_q$ constraints can be relaxed by
191 setting $\mu_\ell = \mu_\ell'^2$ and $\theta_\ell = \theta_\ell'^2$ and solving the following problem with only
192 N_q constraints

$$\min_{\{(\theta_\ell', \mu_\ell')\}_\ell} \mathcal{J}(\{(\mu_\ell'^2, \theta_\ell'^2)\}_\ell; N_q, K_q) \quad \text{with } \theta_\ell'^2 \leq \theta_{\max} \text{ for } \ell = 1, \dots, N_q. \quad (27)$$

193 As problem (27) is nonlinear and non-quadratic w.r.t. nodes θ_ℓ' , we implement
194 the algorithm SolvOpt [55, 56] based on the iterative Shor's method [57].
195 Initial values used in the algorithm must be chosen with care; for this purpose
196 we propose to use the coefficients obtained by the modified Jacobi approach
197 [58]: see method 3 of [43]. Finally, the angular frequencies ω_k for $k = 1, \dots, K_q$
198 in (26) are chosen linearly on a logarithmic scale over a given optimization
199 band $[\omega_{\min}, \omega_{\max}]$, i.e.

$$\omega_k = \omega_{\min} \left(\frac{\omega_{\max}}{\omega_{\min}} \right)^{\frac{k-1}{K_q-1}}. \quad (28)$$

200 The choice of ω_{\min} and ω_{\max} depends on the configuration under study (tube
201 alone or coupled system with resonators) and has been detailed in [43]. Be-
202 sides the positivity of the quadrature coefficients, a great improvement of
203 accuracy is observed numerically when using the nonlinear optimization de-
204 scribed above.

205 4.2. Numerical scheme

206 In order to integrate the systems (20), a grid is introduced with a uniform
207 spatial mesh size $\Delta x = L/N_x$ and a variable time step Δt_n . The approxi-
208 mation of the exact solution $\mathbf{U}^\pm(x_j = j \Delta x, t_n = t_{n-1} + \Delta t_{n-1})$ is denoted
209 by $\mathbf{U}_j^{n\pm}$. A splitting strategy is followed here, ensuring both simplicity and

210 efficiency. Instead of integrating the original equations (20), propagative
211 equations

$$\frac{\partial}{\partial t} \mathbf{U}^\pm + \frac{\partial}{\partial x} \mathbf{F}^\pm(\mathbf{U}^\pm) = \mathbf{G} \frac{\partial^2}{\partial x^2} \mathbf{U}^\pm \quad (29)$$

212 and forcing equations

$$\frac{\partial}{\partial t} \mathbf{U}^\pm = \mathbf{S}^\pm(\mathbf{U}^\pm) \quad (30)$$

213 are solved successively. The discrete operators to solve (29) and (30) are
214 denoted by \mathbf{H}_a^\pm and \mathbf{H}_b^\pm , respectively. Strang splitting [59] is then used
215 between t_n and t_{n+1} , solving successively (29) and (30) with adequate time
216 increments:

$$\begin{aligned} \bullet \quad \mathbf{U}_j^{(1)\pm} &= \mathbf{H}_b^\pm\left(\frac{\Delta t_n}{2}\right) \mathbf{U}_j^{n\pm}, \\ \bullet \quad \mathbf{U}_j^{(2)\pm} &= \mathbf{H}_a^\pm(\Delta t_n) \mathbf{U}_j^{(1)\pm}, \\ \bullet \quad \mathbf{U}_j^{(n+1)\pm} &= \mathbf{H}_b^\pm\left(\frac{\Delta t_n}{2}\right) \mathbf{U}_j^{(2)\pm}. \end{aligned} \quad (31)$$

217 Provided that \mathbf{H}_a^\pm and \mathbf{H}_b^\pm are second-order accurate and stable operators,
218 the time-marching (31) gives second-order accurate approximations of the
219 original equations (20).

220 As explained in [43], the propagative equation (29) is solved by a standard
221 second-order TVD scheme for nonlinear hyperbolic PDE [60] combined with
222 a centered finite-difference approximation. The discrete operator \mathbf{H}_a is stable
223 under a usual CFL condition.

224 Contrary to [43] where \mathbf{S}^\pm was a constant linear operator, the forcing
225 equations (30) can no longer be solved exactly. Here, they are solved by a
226 second-order implicit trapezoidal method [59]

$$\mathbf{U}^{(n+1)\pm} = \mathbf{U}^{n\pm} + \frac{\tau_n}{2} (\mathbf{S}^\pm(\mathbf{U}^{n\pm})) + \mathbf{S}^\pm(\mathbf{U}^{(n+1)\pm}), \quad (32)$$

227 with $\tau_n = \Delta t_n/2$. The nonlinear systems (32) are solved iteratively by the
228 Newton-Raphson method. In practice, a single iteration is accurate enough.
229 Linearizing the equations and using the Jacobian (23), the discrete operator
230 \mathbf{H}_b^\pm recovers the semi-implicit trapezoidal scheme

$$\mathbf{U}^{(n+1)\pm} = \mathbf{U}^{n\pm} + \tau_n \left(\mathbf{I} - \frac{\tau_n}{2} \mathbf{T}^{n\pm} \right)^{-1} \mathbf{S}^\pm(\mathbf{U}^{n\pm}), \quad (33)$$

231 which is unconditionnally stable.

232 Once time-marching is completed, the source terms (16) and (17) are
 233 updated at the grid nodes 0 (for the right-going wave) and N_x (for the left-
 234 going wave). The forcing term $s(t_n)$ in (16) is obtained from (3) and from
 235 the pressure $p'(0, t_n)$ measured experimentally by the first microphone: see
 236 section 5 for details on that topic.

237 5. Experimental set-up and validation

238 5.1. Lattice sample

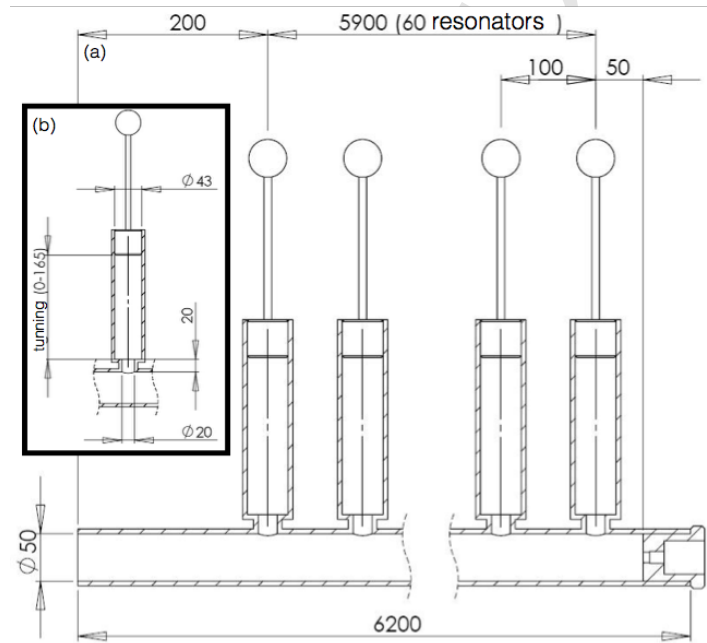


Figure 2: experimental set-up; all the dimensions are detailed in mm. (a): description of the Helmholtz resonators lattice. (b): description of one Helmholtz resonator.

239 The experimental set-up shown in figure 2-(a) consists in a 6.2 m long
 240 cylindrical waveguide connected to an array of 60 Helmholtz resonators pe-
 241 riodically distributed. The position inside the waveguide is labeled using its

242 axis called x -axis. The origin of the x -axis, $x = 0$, is placed at the begin-
 243 ning of the main tube. All the cavities have the same height H , which may
 244 varies between 0 and $H_{max} = 0.165$ m, as described in figure 2-(b). The
 245 physical and geometrical parameters are given in table 1. The physical data
 246 correspond to air at 15 °C.

γ	p_0 (Pa)	ρ_0 (kg/m ³)	Pr	ν (m ² /s)	μ_v/μ
1.403	10 ⁵	1.177	0.708	$1.57 \cdot 10^{-5}$	0.60
R (m)	D (m)	r (m)	L (m)	r_h (m)	H_{max} (m)
0.025	0.1	0.01	0.02	0.0215	0.165

Table 1: physical parameters of air at 15 °C, and geometrical data.

247 The first resonator lies 0.2 m after the beginning of the tube and its
 248 location is referred to as $x = 0.2$ m in the axis of the tube. The end of
 249 the lattice is closed by a rigid cork located at $D/2$ after the last resonator.
 250 Then, the waves impinging the lattice end are reflected and travel in the
 251 opposite direction (keeping the cell length constant) into the lattice, allowing
 252 to increase the lattice length from 6 m to 12 m. Numerical modeling of this
 253 configuration amounts to solve (4) by considering a 0.2 m long waveguide
 254 with no resonator, connected to a 5.95 m long lattice of resonators closed by
 255 a rigid end, in accordance with the experimental set-up.

256 A second experimental system, consisting in a waveguide with no array
 257 of resonator, is used in section 5.4 to highlight the influence of the Helmholtz
 258 resonators in the nonlinear process. This waveguide has exactly the same fea-
 259 tures as the previous one. Numerical modeling of this configuration amounts
 260 to solve (4a) on a 6.15 m long waveguide closed by a rigid end, with $e = 0$.

261 5.2. Source and acquisition

262 The input signal is generated by the explosion of a latex balloon (from a
 263 commercial store) with a spherical shape corresponding to a recommended
 264 diameter of 25 cm. The latter is introduced into a 20 cm long waveguide
 265 with diameter 5 cm closed at one end and connected to the entry of the
 266 main tube at the other end. Using an air pump, the balloon is inflated until
 267 its explosion. The shape of the generated impulsion (width and amplitude)
 268 depends on the balloon length at the explosion time, varying slightly from
 269 one experiment to another.

270 The excess pressure $p' = p'^+ + p'^-$ is measured with 3 PCB 106B micro-
 271 phones. They are located at the beginning of the system (20 cm before the

272 first resonator) and at 2 different positions into the lattice, depending on the
 273 experiment. The sensibility of the microphones is 0.045 V/kPa, and a PCB
 274 441A101 conditioning amplifier is used for each of them. The acquisition is
 275 made by a National Instrument BNC 2110 card with a sample frequency of
 276 250 kHz, connected to a computer.

277 The input signal shown in the figure 3-(a) can be described by a gate-
 278 signal with a high amplitude around 30 kPa, and a width around 1.5 ms
 279 with the presence of a tail caused by reflexion at the end of the source tube.
 280 The initial excess pressure consists of a compression wave. The figure 3-(b)
 281 shows the spectrum of the input signal and points out that the frequency
 282 range excited by the source is mostly included in $[0 - 650]$ Hz. This input
 283 signal, generated by the balloon explosion, is measured at each experiment.
 284 It is then injected in the numerical scheme and acts as a forcing term s : see
 285 section 4.2. In other words, our resolution method requires only the input
 286 data signal as initial conditions to solve the system (4). It is an important
 287 difference with the resolution method in [42] which requires the fitting of the
 288 experimental signal after some distance of propagation.

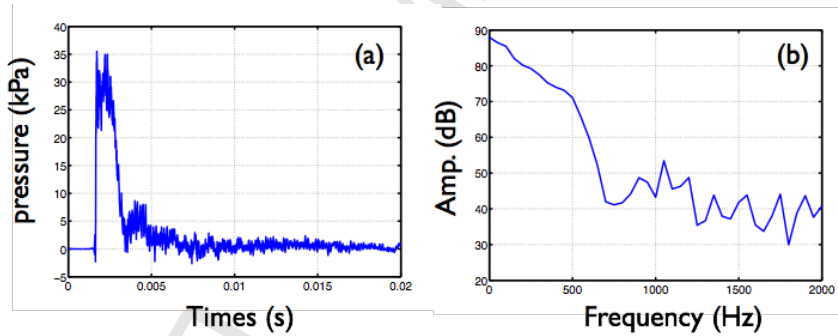


Figure 3: acoustic source measured at the entry of the tube. (a): time history of the excess pressure $p'(0, t)$. (b): Fourier transform of the signal.

289 5.3. Linear dispersion in a Helmholtz resonator lattice

290 The goal of this section is to examine the validity of the model (4) to
 291 describe the experimental configuration under study. For this purpose, figure
 292 4 compares the dispersion curves obtained with the continuous description of

293 resonators (7) and with the discrete description (8). Three different heights of
 294 resonators are considered: $H = 16.5$ cm ($f_0 = 345$ Hz), $H = 7$ cm ($f_0 = 586$
 295 Hz), and $H = 2$ cm ($f_0 = 1027$ Hz). In each case, $f_0 = \omega_0/(2\pi)$ is the
 296 resonance frequency of the Helmholtz resonators (2).

297 Good agreement between these two families of dispersion curves is ob-
 298 tained on a large frequency domain, up to the Bragg band gap at 1800
 299 Hz. Because of the continuous approximation, equation (7) cannot predict
 300 the Bragg band gap due to the lattice periodicity. However, the first hy-
 301 bridization band gap (due to Helmholtz resonance) is well described by the
 302 continuous model.

303 A second observation deduced from figure 4 concerns the dispersive be-
 304 havior of the medium under study. Recall that the upper limit of the source
 305 frequency range f_{\max} is around 650 Hz. If $f_0 \gg f_{\max}$ (i.e. $H = 2$ cm),
 306 we observe a linear frequency dependance of QD in $[0, f_{\max}]$, which implies
 307 that the dispersion is weak (figure 4-(c)). On the contrary, when f_0 lies in
 308 the source frequency range (figure 4-(a) for $H = 16.5$ cm and figure (4b) for
 309 $H = 7$ cm), the dispersion is strong. This impacts strongly the shape of the
 310 waves, as detailed in section 6.4.

311 5.4. Tube without resonators

312 Before considering the interaction of waves with the lattice of resonators,
 313 we consider the simple case of a uniform tube. Figure 5 shows the profiles
 314 of the measured and simulated excess pressure p'/p_s at the position $x = 6.15$
 315 m in a waveguide without resonator, where p_s is the magnitude of the input
 316 signal. The blue and red lines correspond to the simulated and experimental
 317 results, respectively. The initial pressure wave has evolved to a triangular
 318 shape wave during the propagation, due to a well-known nonlinear process
 319 [45, 49].

320 The good agreement between the simulated and measured pressure high-
 321 lights the validity of the lossy nonlinear model for the waveguide propagation
 322 described by the equation (4a) where the coupling term with the resonators
 323 is canceled. The model describing the losses in the waveguide propagation by
 324 fractional derivatives is verified by this comparison and will not be discussed
 325 further. Note lastly that the volume attenuation and the viscothermal losses
 326 in the tube are insufficient to prevent from the occurrence of shocks [61].

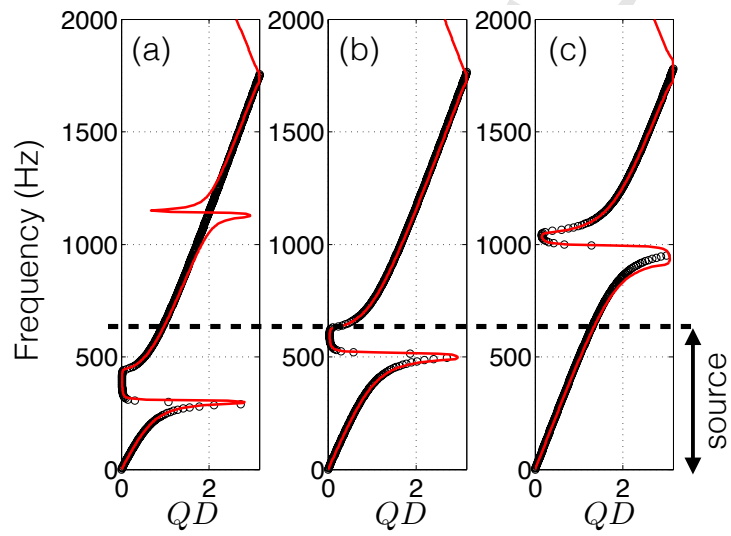


Figure 4: dispersion relation of an array of Helmholtz resonators for $H = 16.5$ cm (a), $H = 7$ cm (b) and $H = 2$ cm (c). The open circles correspond to the continuous model (7). The continuous red line corresponds to the discrete model (8).

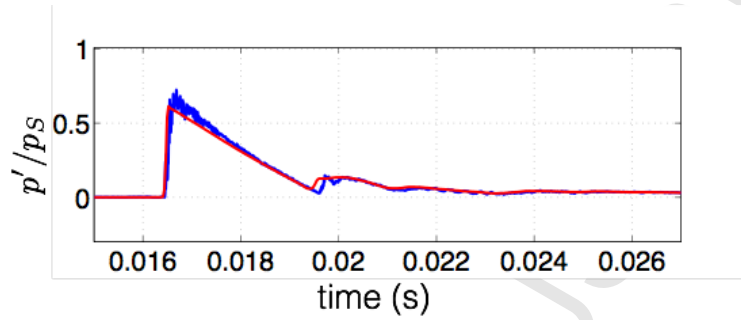


Figure 5: time history of the excess pressure p'/p_s in a tube with no array of resonators, at $x = 6.15$ m. The blue and red lines represent the experimental and simulated profiles, respectively.

327 6. Experiments in a tube with resonators

328 6.1. Existence of solitary waves

329 Figure 6 presents the experimental and simulated temporal profiles p'/p_s
 330 at $x = 2.1$ m, in a waveguide connected to an array of Helmholtz resonators.
 331 The heights of resonators are $H = 7$ cm (figure 6-(a)) and $H = 13$ cm (figure
 332 6-(b)), respectively. In the case $H = 7$ cm (resp. $H = 13$ cm), the resonance
 333 frequency f_0 of the Helmholtz resonators is $f_0 \simeq 586$ Hz (resp. $f_0 \simeq 414$
 334 Hz). The blue line depicts the experimental results. The red line depicts the
 335 numerical results where all the physical phenomena are incorporated, leading
 336 to the full system (4). The black line depicts the numerical solution obtained
 337 without incorporating the nonlinear processes in the resonators: $m = n = 0$
 338 in (4b).

339 Unlike the waveguide without resonators, where a triangular wave is ob-
 340 tained (figure 5), the lattice produces a wave with a smooth and symmetrical
 341 shape (figure 6). This constitutes a signature of solitary waves.

342 Good agreement between experimental and simulated waves is obtained
 343 when the nonlinear terms in the resonators (essentially losses due to the term
 344 n in (4b)) are taken into account. On the contrary, the linear viscothermal
 345 losses alone are insufficient to predict the right amplitude, which is overesti-
 346 mated compared to the experimental results. Moreover, spurious oscillations
 347 are observed in the linear case, that are suppressed when nonlinear losses are

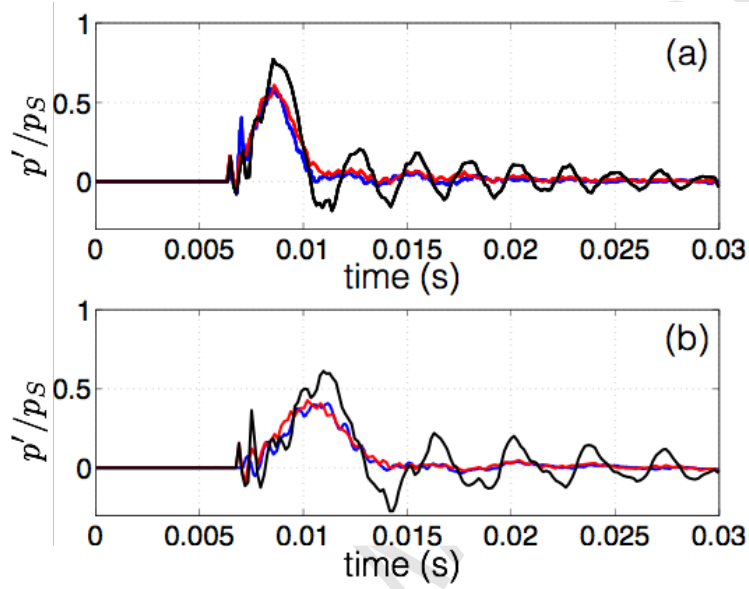


Figure 6: time history of the excess pressure p'/p_s at $x = 2.1$ m in a tube with an array of resonators. The heights of resonators are: $H = 7$ cm (a), $H = 13$ cm (b). The blue line represents the experimental pressure. The red and black lines represent the simulated pressure, with (red) or without (black) nonlinear losses.

348 incorporated.

349 In addition, the comparison between the heights $H = 7$ cm (figure 6-(a))
 350 and $H = 13$ cm (figure 6-(b)) highlights the influence of the resonators on the
 351 evolution of the pulse. The solitary wave being the result of a competition
 352 between the nonlinearity and the dispersion in the media, it is very sensitive
 353 to the cavity length. The decrease of the Helmholtz resonance frequency
 354 leads to an increase of the wave attenuation, an increase of the pulse width,
 355 and a decrease of the wave celerity. These results corroborate the theoretical
 356 analysis performed in [42] and confirm the existence of an acoustic solitary
 357 wave.

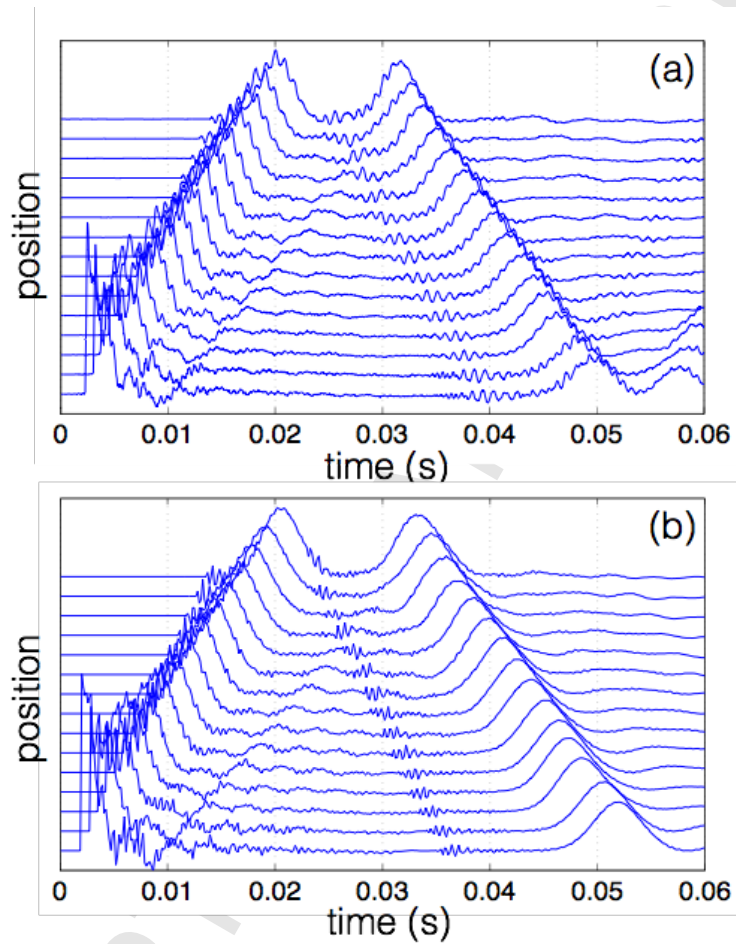


Figure 7: spatio-temporal evolution of the waves in a waveguide with an array of resonators of height $H = 13$ cm. (a) experiments, (b) simulations. The horizontal axis represents the time t .

358 *6.2. Spatio-temporal evolution*

359 In this section, we illustrate the evolution of solitary waves during their
 360 propagation, in the case $H = 13$ cm. Figure 7 displays the experimen-

tal results (top panel) and the simulated results with nonlinear attenuation (bottom panel), in the space \times time plane.

Experimentally (figure 7-(a)), the signals have been recorded at 15 different positions of microphones regularly spaced, from 0.2 m to 4.4 m inside the lattice with a spacing 0.3 m. Since only two microphones were available, acquisition was performed during 8 successive experiments, the pair of microphones being successively shifted. A new source was used in each experiment, leading to small deviations from one experiment to the other. These 8 sources were used as initial data for the corresponding numerical simulations. For both experiments and simulations, we present the ratio between the excess pressure in the waveguide and the source amplitude p'/p_s .

Figure 7 clearly shows the propagation of a solitary wave without change of shape and with a constant velocity characterized by a constant slope in the space \times time plane, both experimentally and numerically. The symmetry of figure 7 with respect to time $t = 29$ ms illustrates the reflexion of the solitary wave at the closed end of the tube. A second reflexion at the opposite closed end is visible in the experimental case, but it is not simulated numerically. The experimental and simulated results are in good agreement, for both the shape and the velocity of the waves.

6.3. Attenuation

Figure 8 illustrates the attenuation of acoustic solitary waves during their propagation, in the case of resonators with height $H = 13$ cm. Experimental results are shown in blue line, and simulated results are shown in red and black lines. The red line has been computed by incorporating all the nonlinear mechanisms in the resonator (in particular the nonlinear attenuation due to jet loss in the neck), whereas the black line incorporates only the linear viscothermal losses ($m = n = 0$ in (4b)). All these results are deduced from the experimental and simulated data presented in the previous section. Good agreement between experiments and simulations is obtained when the nonlinear absorption process is taken into account.

The evolution of the attenuation in terms of the distance highlights two regimes in the wave propagation: a strong attenuation during the first 2 meters (50 %), followed by a weaker attenuation during the remaining propagation. Two different mechanisms are involved in the attenuation process to explain this observation. Firstly, the nonlinear absorption taking place in the resonator necks is preponderant during the first meters, due to the high amplitude of the initial pulse, which leads to a strong decrease. We recall

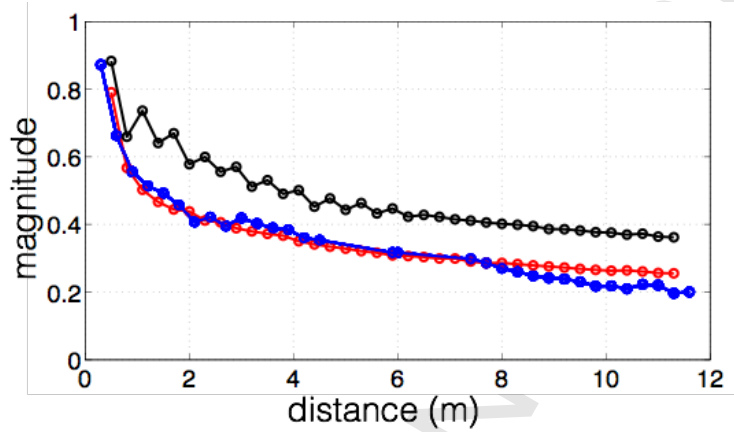


Figure 8: magnitude of the solitary wave in terms of the propagation distance in the lattice, with Helmholtz resonators of height $H = 13$ cm. Blue line presents the experimental results. Red and black lines present the numerical results obtained with the full model (red) and without the nonlinear attenuation (black).

398 here that the nonlinear absorption of the resonators is due to the jet loss
 399 resulting from the difference in inflow and outflow patterns. Secondly and
 400 owing to the weaker amplitude, the cumulative effects of linear viscother-
 401 mal losses in the boundary layer of the tube during the propagation prevail,
 402 resulting in a lower attenuation. These mechanisms are deduced from the
 403 simulations without the nonlinear absorption: in the first regime, the atten-
 404 uation is largely underestimated while for the second regime the slope of the
 405 decay is well found.

406 To highlight these two different regimes, figure 9 shows the time evolution
 407 of the wave recorded during the first 3 meters. The shape of the initial high
 408 amplitude pulse is greatly modified, leading to a symmetrical and smooth
 409 shape after 2 m of propagation. In addition, a strong attenuation is observed
 410 (50 % of amplitude decay). After, the wave shape remains constant and the
 411 attenuation becomes weaker. Again, these results show the crucial role of
 412 nonlinear absorption process in the evolution of a high amplitude pulse to a
 413 solitary wave.

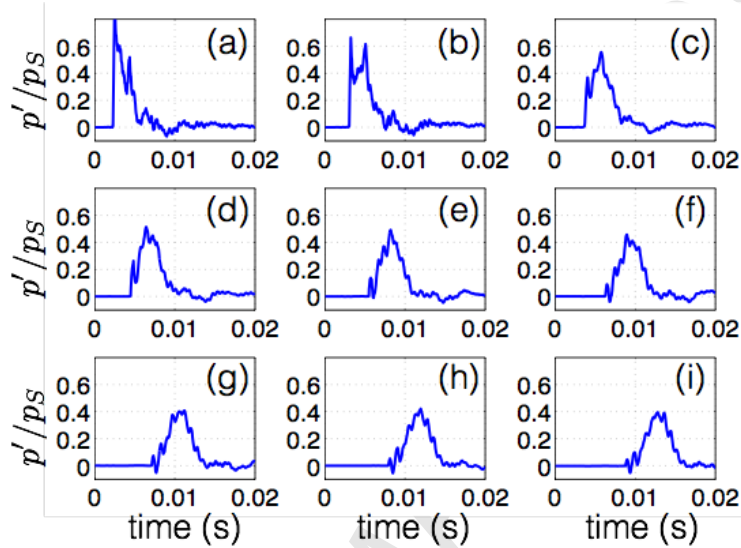


Figure 9: time history of the excess pressure p'/p_s measured experimentally in the case of Helmholtz resonators of height $H = 13$. The measures are done from $x = 0.2$ m to $x = 2.6$ m, with a spacing 0.3 m.

414 6.4. Influence of the dispersion

415 Here we study the influence of the Helmholtz resonance frequency on the
 416 features of the solitary waves (velocity, amplitude and shape). Experimental
 417 and simulated time evolutions of p'/p_s are compared in figure 10 in the case
 418 of heights $H = 2$ cm (figure 10-(a)) and $H = 16.5$ cm (figure 10-(b)). Three
 419 waves are observed from the left to the right, corresponding successively to
 420 the direct wave at the receivers $x = 2.8$ m and 5.95 m, and to the reflected
 421 wave at $x = 2.8$ m. In the case $H = 2$ cm ($f_0 = 1027$ Hz), the dispersion is
 422 weak in the frequency range of the source (see figure 4), contrary to the case
 423 $H = 16.5$ cm ($f_0 = 345$ Hz) where the dispersion is strong. Comparing these
 424 two cases shows the essential role of the dispersion on the characteristics of
 425 the wave.

426 Weak dispersion combined with nonlinear propagation (figure 10-(a))
 427 leads to a narrow, compact and less attenuated solitary wave with a high

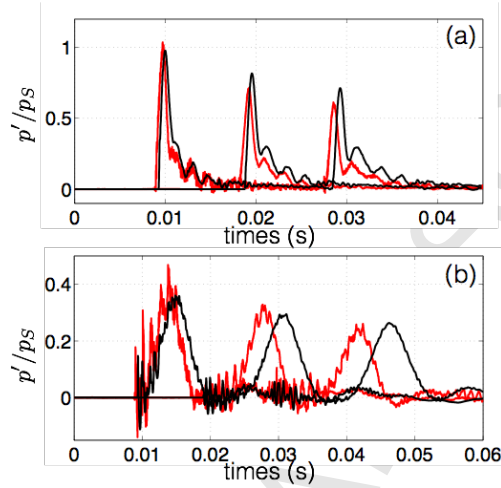


Figure 10: time history of the excess pressure p'/p_s at $x = 2.8$ m and $x = 5.95$ m. (a) $H = 2$ cm, corresponding to $f_0 = 1027$ Hz. (b) $H = 16.5$ cm, corresponding to $f_0 = 345$ Hz. Experimental and numerical results are shown in red line and black line, respectively.

428 velocity. In this case, the velocity and the shape are well recovered by the
 429 simulation. For strong dispersion (figure 10-(b)), the wave is more attenuated
 430 and its shape becomes larger. The simulated half-width and the amplitude
 431 of the wave are in good agreement with the experimental ones. However, a
 432 slight shift of the positions of waves is observed.

433 A systematic study is then performed by considering six cavity heights:
 434 $H = 2, 3, 7, 10, 13, 16.5$ cm. The experimental and simulated excess pressure
 435 p' is measured at $x = 2.8$ m and 5.95 m in the lattice. The velocity is deduced
 436 from the traveltime of the maximum of the wave. The attenuation factor is
 437 given by the ratio of the maximum amplitudes at the two receivers. The
 438 shape is characterized by the half-width of the solitary wave at $x = 5.95$ m.

439 All the results are displayed in the figure 11-(a,b,c), where the experi-
 440 mental results and the numerical results are shown in red and black line,
 441 respectively. Experiments and simulations are in good agreement, denot-
 442 ing the good description of the physics by the model and the efficiency of
 443 the numerical method. As expected, the features of the solitary wave are

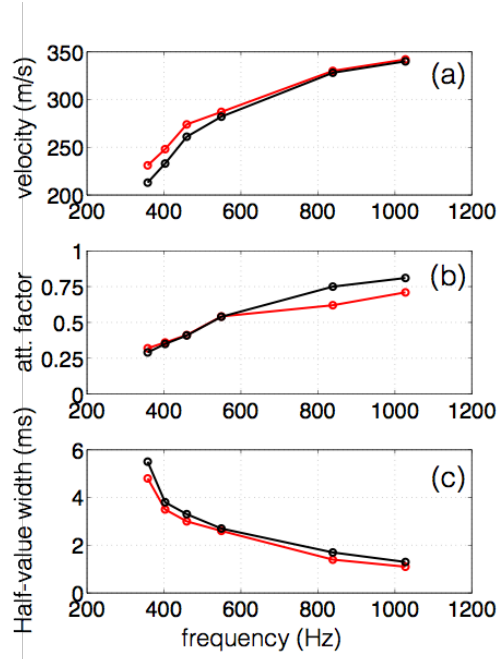


Figure 11: (a) velocity, (b) attenuation factor and (c) half-width of the pulse versus resonance frequencies of the resonators. Experimental and numerical results are shown in red line and black line, respectively.

444 highly dependent on the Helmholtz resonance frequencies. High resonance
 445 frequency (small H) yields large velocity, low attenuation (attenuation fac-
 446 tor close to 1) and narrow wave. The velocity of the wave is close to the
 447 sound speed. For low resonance frequency, conversely, the velocity decreases
 448 and the attenuation and the width of the wave increases. These observations
 449 confirm the main properties of the solitary waves, theoretically analyzed in
 450 [41].

451 **7. Conclusion**

452 We have studied numerically and experimentally the propagation of high
453 amplitude pulses in a lattice of Helmholtz resonators. We have proposed
454 a new time-domain numerical method to describe the linear viscothermal
455 losses in the waveguide and the nonlinear absorption due to the acoustic jet
456 formation in the necks of resonators. The comparisons between numerical
457 and experimental results has validated the theoretical model (4) proposed
458 by Sugimoto, as long as the dissipation processes are correctly incorporated.
459 Two different regimes of propagation have been observed. Firstly, a strong
460 attenuation regime, dominated by the nonlinear absorption process, reduces
461 largely the amplitude of waves and reshapes the acoustic pulses to generate
462 a solitary wave. Secondly, linear losses in the waveguide produce a lower
463 mitigation of the solitary wave leading to an almost-constant shape.

464 The properties of the acoustic solitary waves have been studied in terms
465 of the dispersion of the lattice. In the case of low dispersion, the solitary
466 wave is compact with a narrow shape. Its velocity is close to the sound
467 celerity and its attenuation is weak. In the case of a strong dispersion, the
468 shape of the solitary wave is broader, its velocity is smaller and its attenu-
469 ation is large. The numerical and the experimental studies show the great
470 importance of losses in the generation of acoustic solitary waves in periodic
471 locally resonant structures. It contributes to promising research in the field
472 of nonlinear acoustic propagation in metamaterials and acoustic transmis-
473 sion filters. Future works will be devoted to the study of nonlinear acoustic
474 propagation in disordered systems. In particular, our numerical and experi-
475 mental set-ups will be used to investigate the competition between nonlinear
476 dynamics, dispersion processes and disorder effects.

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